

AI4OPT Seminar Series GeorgiaTech, Fall 2022

# Machine Learning for Power Systems: Is it time to trust it?

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#### 一定 **Department of Wind and Energy Systems Working for a sustainable future**



~100 people working on power systems



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#### **PWR Section: 28+3 members; 20 nationalities**





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Yan Xu







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13 October 2022 DTU Wind and Energy Systems - Spyros Chatzivasileiadis



Konrad

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Brynjar

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Ana Turk



# **PWR: Advanced Methods and Tools for Power**  $\blacksquare$ **System Security and Control**

**Methods Going Beyond the State-of-the-art** 

- **1. Trustworthy AI** for Power **Systems**
- **2.Quantum** Computing
- **3.Cyber Physical** Systems
- **4.Energy Data** Spaces
- 5. Stability, Optimization, and Control of **Zero-Inertia Systems**

 $13.3$  October 2022 DTU Wind and Energy Systems – Spyros Chatzivasileiadische systems – Spyros Chatzivasileiadische systems – Spyros Chatzivasileiadische systems – Spyros Chatzivasileiadische systems – Spyros Chatzivasile

#### **Advanced Tools**

- **1. World-Record**in Fast Realtime Security Assessment of Electric Power Systems
- **2.Open-Source Models** of the Nordic and European Systems
- **3.Digitalization tools** for e.g. grid black start
- **4.Digital Twins** for Power **Systems**

#### **Applications**

- **1. RTDS** infrastructure and **Hardware-in-the-Loop**
- **2.Demonstration** in Bornholm
- 3. System-stability and operation including the **Bornholm and North Sea Energy Islands**

**6**





Andreas Venzke



Rahul Nellikkath



Sam **Chevalier** 



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Jochen Stiasny



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# **Machine learning: Why shall we apply it in power systems?**

- **1. Extremely fast can assess 100x-1'000x more of critical scenarios**
	- computation within only a **few milliseconds** (100x 1000x faster than conventional methods)
		- Predict fast and act faster  $\rightarrow$  drastically increase power system resilience
- 2. Can handle **very complex systems** and **infer** from incomplete data
	- Excellent potential to create accurate **surrogate models**
		- Accelerate simulations; and offer good approximations of previously intractable systems



#### **But: Would an Operator ever trust AI in the Control Room?**



#### DTU **This talk: Two Challenges and One Opportunity**

- Challenge #1: Machine Learning is extremely dependent on high-quality data.
- Challenge #2: Has the Neural Network been trained to generalize well? Can we trust it?
- Opportunity: "AI for Optimization". Use trustworthy Machine Learning to capture (=approximate well) previously intractable constraints and embed them in any optimization problem
	- Example<sup>1</sup>: Instead of running 10,000 scenarios to determine the critical clearing time of a converter-based system, run a single optimization.

Abbreviations I will use:

- ML: Machine Learning
- NN: Neural Network

<sup>1</sup>G. S. Misyris, J. Stiasny, S. Chatzivasileiadis, Capturing Power System Dynamics by Physics-Informed Neural Networks and Optimization. IEEE Conference on Decision and Control (CDC), 2021. [ [.pdf](https://arxiv.org/pdf/2103.17004.pdf) ]



### Facts **Consequence**

#### 1. All data are not the same

For a NN that assesses if a system is stable, training data close to the stability boundary contain much more information than training data far away from it.

Statistical sampling is not enough

Challenge #1: ML extremely dependent on high-quality data



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Statistical sampling is not enough

2. Training data must follow the same statistical properties as real data Do we have enough historical data about e.g. outages? Is this possible?

1. For power systems: We have so many physical models. Add them!

2. We cannot trust "Neural Network Accuracy" as a performance metric



Challenge #2: Has NN been trained to generalize well?

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Can we trust it?



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# **Closing the Loop: A Framework for Trustworthy Machine Learning in Power Systems**

J. Stiasny, S. Chevalier, R. Nellikkath, B. Sævarsson, S. Chatzivasileiadis. Closing the Loop: A Framework for Trustworthy Machine Learning in Power Systems. Accepted to 2022 iREP Symposium - Bulk Power System Dynamics and Control - XI  $(iREP)$ . Banff, Canada. July 2022. [ $paper | code$  $paper | code$  $paper | code$ ]

#### **DTU**  $\mathbf{z}$ **Closing the Loop: Trustworthy ML for Power Systems**

Conventional Neural Network Training for Power System Applications



#### **DTU**  $\overrightarrow{u}$ **Closing the Loop: Trustworthy ML for Power Systems**



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#### **DTU**  $\overline{\mathbf{u}}$ **Closing the Loop: Trustworthy ML for Power Systems**



# **Sampling beyond Statistics: Separating Hyperplanes and Directed Walks**

- Historical data are often insufficient
- Need to generate our own data
- Here: generate data for N-1 security+small-signal stability
	- Assessing the stability of 100'000s of operating points is an extremely demanding task
	- Immense search space
	- How can I do it efficiently?

F. Thams, A. Venzke, R. Eriksson, and S. Chatzivasileiadis, "Efficient database generation for data-driven security assessment of power systems". ". IEEE Trans. Power Systems, vol. 35, no. 1, pp. 30-41, Jan. 2020.<https://www.arxiv.org/abs/1806.0107.pdf>

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Proposed approach:

- Can accommodate numerous definitions of power system security (e.g. N-1, N-k, small-signal stability, voltage stability, transient stability, or a combination of them)
- 10-20 times faster than existing state-ofthe-art approaches
- Generated Databases for IEEE 14-bus and NESTA 162-bus system available! <http://www.chatziva.com/downloads.html#databases>

#### DTU<br>2 **Sampling beyond Statistics: Efficient Database Generation**

- The goal
	- –Focus on the boundary between stability and instability
	- –We call it: "high information content" region
- How?
	- 1. Using convex relaxations
	- 2. And "Directed Walks"



Real data for the IEEE 14-bus system N-1 security and small-signal stability





• Certificate: if point infeasible for semidefinite relaxation  $\rightarrow$ infeasible for the original problem



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- If infeasible point: find minimum radius to feasibility
- Discard all points on one side of the hyperplane
- A. Venzke, D.K. Molzahn, S. Chatzivasileiadis, Efficient Creation of Datasets for Data-Driven Power System Applications. PSCC 2020. <https://arxiv.org/pdf/1910.01794.pdf>

### **DTU Directed Walks**

- "Directed walks": steepest descent based algorithm to explore the remaining search space, focusing on the area around the security boundary
	- 1. Variable step -size
	- 2. Parallel computation
	- 3. Full N -1 contingency check







#### **DTU**  $\overrightarrow{u}$ **NN-Informed Sampling**

- Ideally: enrich the database with points near the stability boundary during NN training
	- But: impossible to know a priori which are these points
- What do we do?

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	- Extremely fast  $\rightarrow$  NN will take some minutes to assess all of them



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- Sample 1'000'000 random points and have the NN assess them
	- Extremely fast  $\rightarrow$  NN will take some minutes to assess all of them
- 2. From the NN assessment: identify the region close to the stability boundary
- 3. Sample 200 points in this region, compute the ground truth (=run N -1 and small signal stability), and enrich the database



#### DTU **Sampling beyond statistics: Better results with less data**

- Larger datasets achieve lower error
	- $-6<sup>4</sup>$  : ~2x more data than 5<sup>4</sup>
	- $-7<sup>4</sup>$ : ~4x more data than  $5<sup>4</sup>$
- The directed walks and the NN-informed resampling achieve the same performance with half the datapoints

Note: Actual performance of DW and NI depends on the case study. But the trend remains the same across all our experiments

#### Mean squared error (test set loss)



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- The directed walks and the NN-informed resampling achieve the same performance with half the datapoints
- Physics-Informed Neural Networks can achieve similar results

Note: Actual performance of DW, NI, and PINNs depends on the case study. But the trend remains the same across all our experiments





# **Physics-Informed Neural Networks for Power Systems**



# **Neural Networks: An advanced form of non-linear regression**



 $y_i$ : actual/correct value  $\widehat y_i$ : estimated value

Loss function: Estimate best  $w_1$ ,  $w_2$ to fit the training data

$$
\min_{w_1, w_2} \quad ||y_i - \hat{y_i}||
$$

s.t.

$$
\hat{y}_i = w_1 + w_2 x_i \quad \forall i
$$

**Traditional training of neural networks required no information about the underlying physical model. Just data!**

#### DTU **Physics Informed Neural Networks**

- Automatic differentiation: derivatives of the neural network output with respect to the input can be computed during the training procedure
- A differential-algebraic model of a physical system can be included in the neural network training\*
- Neural networks can now exploit knowledge of the actual physical system
- Machine learning platforms (e.g. Tensorflow) enable these capabilities

\*M. Raissi, P. Perdikaris, and G. Karniadakis, Physics-Informed neural networks: A deep learning framework for solving forward and inverse problems involving nonlinear partial differential equations", Journal of Computational Physics, vol.378, pp. 686-707, 2019

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## **Physics-Informed Neural Networks for Power Systems**





G. S. Misyris, A. Venzke, S. Chatzivasileiadis, Physics-Informed Neural Networks for Power Systems. Presented at the Best Paper Session of IEEE PES GM 2020. <https://arxiv.org/pdf/1911.03737.pdf>

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## **Physics-Informed Neural Networks for Power Systems**

- Physics-Informed Neural Networks (PINN) could potentially replace solvers for systems of differential-algebraic equations in the long-term
	- **– Probable power system application: Extremely fast screening of critical contingencies**
- In our example: PINN 87 times faster than ODE solver
- Can **directly estimate** the rotor angle at **any** time instant

Code is available on GitHub:<https://github.com/jbesty>

G. S. Misyris, A. Venzke, S. Chatzivasileiadis, Physics-Informed Neural Networks for Power Systems. Presented at the Best Paper Session of IEEE PES GM 2020.<https://arxiv.org/pdf/1911.03737.pdf>



# **Computation time: Classical numerical solvers vs. Physics-Informed NNs**



- **• Physics-Informed Neural Networks** can determine the outputs more than **100x faster than classical numerical solvers**
	- The further we look in time, e.g. what is the frequency at t=1s, the larger the computational advantage is

J. Stiasny, G. S. Misyris, S. Chatzivasileiadis,Transient Stability Analysis with Physics-Informed Neural Networks. <https://arxiv.org/abs/2106.13638> [ [code](https://github.com/jbesty) ]

#### **DTU**  $\overline{\mathbf{u}}$ **Closing the Loop: Trustworthy ML for Power Systems**





# **Neural Network Verification** for classification NNs in Power Systems

A. Venzke, S. Chatzivasileiadis. Verification of Neural Network Behaviour: Formal Guarantees for Power System Applications. In IEEE Transactions on Smart Grid, vol. 12, no. 1, pp. 383-397, Jan. 2021,<https://arxiv.org/pdf/1910.01624.pdf>

V. Tjeng, K. Y. Xiao, and R. Tedrake, "Evaluating robustness of neural networks with mixed integer programming," in International Conference on Learning Representations (ICLR 2019), 2019

#### DTU **Neural Network Verification: HOW?**

- **1. Exact transformation:** Convert the neural network to a **set of linear equations with binaries**
	- The Neural Network can be included in a mixed-integer linear program
- 2. Formulate an **optimization** problem (MILP) and solve it  $\rightarrow$  certificate for NN behavior

3. Assess if the neural network output complies with the ground truth





- Most usual activation function: ReLU
- **• ReLU:** Rectifier Linear Unit







1. But **ReLU** can be transformed to a **piecewise linear function with binaries**







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2. I can encode all operations of a Neural Network to a system of linear equations with continuous and binary variables

3. I can **integrate** all information encoded in a **neural network inside an optimization program**

#### **DTU**  $\overrightarrow{u}$ **Certify the output for a continuous range of inputs**



1. We assume a given input  $x_{ref}$  with classification "safe"

A. Venzke, S. Chatzivasileiadis. Verification of Neural Network Behaviour: Formal Guarantees for Power System Applications. IEEE Transactions on Smart Grid, Jan. 2021. <https://arxiv.org/pdf/1910.01624.pdf>

### **DTU Certify the output for a continuous range of inputs**



- We assume a given input  $x_{ref}$  with classification "safe"
- 2. Solve optimization problem: **Does classification change for any input within distance**  $\epsilon$  from  $x_{ref}$ ?
- 3. If not, then **I can certify** that my neural network will classify the whole continuous region as "safe"
- 4. I can repeat this for other regions and different classifications

A. Venzke, S. Chatzivasileiadis. Verification of Neural Network Behaviour: Formal Guarantees for Power System Applications. IEEE Transactions on Smart Grid, Jan. 2021. <https://arxiv.org/pdf/1910.01624.pdf>



# **Provable Worst-case Guarantees**

Venzke, G. Qu, S. Low, S. Chatzivasileiadis, Learning Optimal Power Flow: Worst-case Guarantees for Neural Networks. **Best Student Paper Award** at IEEE SmartGridComm 2020.<https://arxiv.org/pdf/2006.11029.pdf>

R. Nellikkath, S. Chatzivasileiadis, Physics-Informed Neural Networks for Minimising Worst-Case Violations in DC Optimal Power Flow. In IEEE SmartGridComm 2021, Aachen, Germany, October 2021.

R. Nellikkath, S. Chatzivasileiadis. Physics-Informed Neural Networks for AC Optimal Power Flow. 2021.

#### DTU **Neural Networks for Optimal Power Flow**

Optimal Power Flow Minimize Total Generation Cost Subject to: Total supply  $=$  Total load demand Transmission line limits Generator limits

Several recent approaches in literature **apply Neural Networks** to estimate the optimal point

- Demonstrate up to **100x speedup**
- $\cdot$  But **no performance guarantees**  $\rightarrow$  *Does* the Neural Network decision lead to any violations?

We have developed methods that can for the first time **determine these worst-case violations** (of any Neural Network to an OPF)

• Key point: Convert NN to a MILP









# **The opportunity "AI for Optimization"**

### **DTU The opportunity: 1-slide summary**

1. Take any nonconvex region



Intersection of all security/stability criteria: Non-linear and nonconvex security region







#### 4. Solve any problem



Example<sup>1</sup>: Instead of running e.g. 10'000 simulations to determine the critical clearing time for a set of disturbances, run a single optimization:

max fault clearing time s.t. system=safe

1 Misyris, Stiasny, Chatzivasileiadis, CDC, 2021



## **An Example**

- North Sea Wind Power Hub
- Wind Hub Operators offer energy and primary frequency control and primary voltage control
	- Can determine both P and  $Q$ , and
	- $-K_{p,f}$ and  $K_{v}$  (freq. droop and voltage droop)
- What are the permissible combinations of P, Q,  $K_{p,f}$ , and  $K_v$  that satisfy:
	- Small-signal Stability (e.g. ζ>3%), for all
	- N-1 contingencies

#### Problem extremely difficult to solve: infinite combinations



J. Stiasny, S. Chevalier, R. Nellikkath, B. Sævarsson, S. Chatzivasileiadis. Closing the Loop: A Framework for Trustworthy Machine Learning in Power Systems. Accepted to 2022 iREP Symposium - Bulk Power System Dynamics and Control - XI (iREP). Banff, Canada. 2022. [ [paper](https://arxiv.org/abs/2203.07505) | [code](https://github.com/jbesty/irep_2022_closing_the_loop) ]

#### DTU<br>2 **Opportunity: Convert Verified Neural Network to an Optimization Problem**



#### **Optimization Problem #1**

For given operating point ( $P_{ref}^{\ast}$  ,  $Q_{ref}^{\ast}$ ), what is the maximum range of frequency and voltage control parameters  $(K_p, f$  and  $K_v$ ) that ensures smallsignal stability for all N-1 contingencies?



#### DTU **Opportunity: Convert Verified Neural Network to an Optimization Problem**

## **Final Verified NN with Performance Guarantees**  $\mathsf{P}$ Small-signal stable  $\Omega$  $K_{p,f}$ for all N-1?  $K_{\vee}$ MILP

#### **Optimization Problem #2**

For given frequency and voltage control, what is the maximum permissible range of active and reactive power ( $P$  and  $Q$ ) that ensures smallsignal stability for all N-1 contingencies?





- 1. Sampling beyond statistics can yield high quality training databases with smaller amounts of data
- 2. Physics-informed neural networks exploit the underlying physics in the training procedure.
- 3. Neural network verification builds the missing trust; necessary in safety-critical systems.
- 4. From 1000s of simulations to a single optimization: Neural Networks can capture previously intractable constraints and embed them in any optimization problem

"Data-centric AI movement" (Andrew Ng, Stanford, and others)

"Small [data] is the new big" (IEEE Spectrum, Apr. 2022)

Exploit the prior knowledge

# **What did I not talk about**

#### **Exploring a wide range of research directions**

- 1. Accelerating MILPs: using Decision Trees to estimate the active set and drastically reduce the number of binary variables [<https://arxiv.org/pdf/2010.06344.pdf>, IEEE Trans. Power Systems]
- 2. Contracting Neural-Newton Solver: Derive convergence guarantees for Neural Networks that can replace conventional Newton solvers [\[https://arxiv.org/pdf/2106.02543.pdf ,](https://arxiv.org/pdf/2106.02543.pdf) L4DC 2022]
- 3. Interpretable Machine Learning: Direct association of the SHAP Values with the Power Transfer Distribution Factors (PTDFs) [ [https://arxiv.org/pdf/2209.05793.pdf](https://arxiv.org/pdf/2010.06344.pdf) , submitted ]
- 4. Input Convex NNs for convex approximations of non-convex optimization problems  $\lceil$  [https://arxiv.org/pdf/2209.08645.pdf](https://arxiv.org/pdf/2010.06344.pdf), submitted  $\lceil$
- 5. Physics-Informed Neural Networks for Fast Dynamic Security Assessment [\[https://arxiv.org/pdf/2106.13638.pdf,](https://arxiv.org/pdf/2106.13638.pdf) code: [https://github.com/jbesty/PINNs\\_transient\\_stability\\_analysis](https://github.com/jbesty/PINNs_transient_stability_analysis) ]
- 6. Neural Network Training with by-design worst-case guarantees [soon on ArXiV]

and others…

#### **DTU Interested in a postdoc or PhD?**

- Come work with us!
- Wide range of topics around ML and beyond:
	- Trustworthy Machine Learning, Physics-Informed Neural Networks, capturing intractrable constraints with NNs, and more!
	- Working with real datasets, and industry collaboration
	- Opportunities for open academic research and/or toolbox development for practical applications
- Open positions online!
- Contact: [spchatz@dtu.dk](mailto:spchatz@dtu.dk)





# **Thank you!**



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- A. Venzke, G. Qu, S. Low, S. Chatzivasileiadis, Learning Optimal Power Flow: Worst-case Guarantees for Neural Networks. **Best Student Paper Award** at IEEE SmartGridComm 2020.[ [.pdf](https://arxiv.org/pdf/2006.11029.pdf) | [slides](http://www.chatziva.com/presentations/Venzke_Poster_Presentation_LearningOPF_WorsCaseGuarantees.pdf) | [video](https://www.youtube.com/watch?v=C4low9NspXI) ]
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- R. Nellikkath, S. Chatzivasileiadis, Physics-Informed Neural Networks for AC Optimal Power Flow <https://arxiv.org/abs/2110.02672> [ [code](https://github.com/RahulNellikkath/Physics-Informed-Neural-Networks-for-AC-Optimal-Power-Flow) ]
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Article without any equations  $\odot$ S. Chatzivasileiadis, A. Venzke, J. Stiasny and G. Misyris, "Machine Learning in Power Systems: Is It Time to Trust It?," in IEEE Power and Energy Magazine, vol. 20, no. 3, pp. 32-41, May-June 2022 [ [.pdf](https://ieeexplore.ieee.org/document/9761145) ]

All publications available at: [www.chatziva.com/publications.html](http://www.chatziva.com/publications.html)

Some code available at:

[www.chatziva.com/downloads.html](http://www.chatziva.com/downloads.html)